

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Douglas D. Coolbaugh, et al.

Examiner: Unassigned

Serial No: Unassigned

Art Unit: Unassigned

Filed: Herewith

Docket: BUR920000142US2(13891A)

For: STI PULL-DOWN TO CONTROL  
SiGe FACET GROWTH

Dated: September 19, 2003

Commissioner for Patents  
United States Patent and Trademark Office  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. U.S. Patent No. 5,955,745, issued September 21, 1999 to Yamazaki;
2. U.S. Patent No. 5,120,394, issued June 9, 1992 to Mukai;
3. U.S. Patent No. 4,861,393, issued August 29, 1989 to Bean, et al.;

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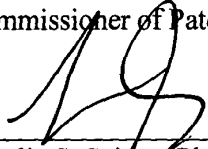
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Dated: September 19, 2003

  
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Leslie S. Szivos, Ph.D.

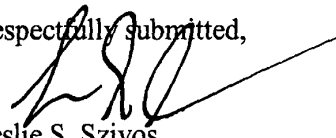
4. Abstract of Japanese Patent No. JP1992000238930, issued March 11, 1994;
5. Abstract of Japanese Patent No. JP1985000072889, issued October 14, 1986;
6. Abstract of Japanese Patent No. JP1984000152265, issued February 13, 1986;
7. U.S. Patent No. 6,303,419, issued October 2001 to Chang et al.;
8. U.S. Patent No. 5,861,640, issued January 1999 to Gomi;
9. U.S. Patent No. 5,587,327, issued December 1996 to Konig et al.
10. U.S. Patent No. 5,506,427, issued April 1996 to Imai; and
11. U.S. Patent No. 4,887,145, issued December 1989 to Washio et al.

Pursuant to 37 C.F.R. §1.98 (d), copies of the references listed on the enclosed Form PTO-1449 are not provided since they were previously made record of in the parent application Serial No. 09/769,640 filed on January 25, 2001. References 1-6 were cited in the Information Disclosure Statement filed on January 25, 2001 and references 7-11 were cited by the Patent and Trademark Office in an Office Action dated on January 18, 2002. C

Consideration of this Information Disclosure Statement is respectfully requested, since the information provided herewith may be material to the examination of the present application as defined under 37 C.F.R. § 1.56. This statement is not intended to represent that a search has been performed or that no other art than that identified herein exists.

The instant Information Disclosure Statement is being submitted concurrent with the filing of the present application. Therefore, this filing is made under 37 C.F.R. § 1.97(b)(1). An Information Disclosure Statement filed under 37 C.F.R. § 1.97(b)(1) requires neither certification nor fee.

Respectfully submitted,

  
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<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>				Docket Number (Optional) <b>BUR920000142US2(13891A)</b>		Application Number <b>Unassigned</b>		
				Applicant(s) <b>Douglas D. Coolbaugh, et al.</b>				
				Filing Date <b>Herewith</b>		Group Art Unit <b>Unassigned</b>		
<b>U.S. PATENT DOCUMENTS</b>								
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
		5,955,745	09/21/99	Yamazaki				
		5,120,394	06/09/92	Mukai				
		4,861,393	08/29/89	Bean, et al.				
		6,303,419	10/2001	Chang et al.				
		5,861,640	1/1999	Gomi				
		5,587,327	12/1996	Konig et al.				
		5,506,427	4/1996	Imai				
		4,887,145	12/1989	Washio et al.				
<b>FOREIGN PATENT DOCUMENTS</b>								
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
		JP1922000238930	03/11/94	Japan				
		JP1985000072889	10/14/86	Japan				
		JP1984000152265	02/13/86	Japan				
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>								
<b>EXAMINER</b>					<b>DATE CONSIDERED</b>			
<b>EXAMINER:</b> Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								